

MMspire Fund Error Report

To: Compliance Analysts (Faraz Khan and Brad McNew), MMspire Intern Manager (Ms. Hilary Towbin), Prof Leslie K. McNew, Coach Jonathon Markowitz

From: Elizabeth Gonzalez

Error Explanation: Breached the dress code by wearing high heels longer than two inches.

Operational Fines: Public Shaming Picture to be sent with this completed document.

Total Fine:

Error Summary:

Date	Description	Cost of Error (+/-)	Prevention Tactics	Deadline
6/30/2016	Breached the dress code by wearing heels longer than two inches.	Walking like a newborn calf	Wear standard 2 inch heels or flats to office.	8/2/2016

Required Signatures:

(No electronic signatures)

Bradley A. McNew
Compliance Analyst Name

Bradley A. McNew 8/2/2016
Compliance Analyst Signature Date

Hilary J. Towbin
Manager Name

Hilary Towbin 8/3/2016
Manager Signature Date

Jonathan A. Markowitz
Partner's Name

[Signature] 8/2/2016
Partner's Signature Date

The trader below has agreed to and taken the public shaming picture:

Elizabeth Gonzalez
Trader's Name

[Signature] 8/2/2016
Trader's Signature Date

SNIP COPY OF PICTURE (S) BELOW. EMAIL TO MMSPIRE INTERNSHIP MANAGER (hilary.towbin@gmail.com), MMSPIRE COMPLIANCE ANALYSTS (farazalikhan96@gmail.com, and brad_mcnew@sbcglobal.net), and MCNEW (lesmcnew@gmail.com).