

MMspire Fund Error Report

To: Compliance Analysts (Faraz Khan and Brad McNew), MMspire Intern Manager (Ms. Hilary Towbin), Prof Leslie K. McNew, Coach Jonathon Markowitz

From: Faraz Khan

Error Explanation: Not prepared with Team GUI.

Operational Fines: Public Shaming Picture to be sent with this completed document.

Total Fine:

Error Summary:

Date	Description	Cost of Error (+/-)	Prevention Tactics	Deadline
8/2/16	I was not prepared with my Team GUI when the team went to visit the federal reserve.	0	Wear the Team GUI every single day regardless of if there is an event or not.	8/3/16

Required Signatures:

(No electronic signatures)

Bradley A. McNew
Compliance Analyst Name

Bradley A. McNew
Compliance Analyst Signature

8-3-16
Date

Hilary Towbin
Manager Name

Hilary Towbin
Manager Signature

8-3-16
Date

Jonathan A. Markowitz
Partner's Name

[Signature]
Partner's Signature

8-3-16
Date

The trader below has agreed to and taken the public shaming picture:

Faraz Khan
Trader's Name

[Signature]
Trader's Signature

8-3-16
Date

SNIP COPY OF PICTURE (S) BELOW. EMAIL TO MMSPIRE INTERNSHIP MANAGER (hilary.towbin@gmail.com), MMSPIRE COMPLIANCE ANALYSTS (farazalikhan96@gmail.com, and brad_mcnew@sbcglobal.net), and MCNEW (lesmcnew@gmail.com).