

MMspire Fund Error Report

To: Compliance Analysts (Faraz Khan and Brad McNew), MMspire Intern Manager (Ms. Hilary Towbin), Prof Leslie K. McNew, Coach Jonathon Markowitz

From: Faraz Khan

Error Explanation: I did not shave before coming to work.

Operational Fines: Public Shaming Picture to be sent with this completed document.

Total Fine:

Error Summary:

Date	Description	Cost of Error (+/-)	Prevention Tactics	Deadline
8/3/16	I did not come clean shaven to work as I agreed to before starting the internship.	0	Make extra time every morning to shave before coming into work.	8/3/16

Required Signatures:

(No electronic signatures)

<u>Bradley A. McNew</u> Compliance Analyst Name	<u>Bradley A. McNew</u> Compliance Analyst Signature	<u>8-3-16</u> Date
<u>Hilary Towbin</u> Manager Name	<u>Hilary Towbin</u> Manager Signature	<u>8-3-16</u> Date
<u>Jonathon A. Markowitz</u> Partner's Name	<u>[Signature]</u> Partner's Signature	<u>8-3-16</u> Date

The trader below has agreed to and taken the public shaming picture:

<u>Faraz Khan</u> Trader's Name	<u>[Signature]</u> Trader's Signature	<u>8-3-16</u> Date
------------------------------------	--	-----------------------

SNIP COPY OF PICTURE (S) BELOW. EMAIL TO MMSPIRE INTERNSHIP MANAGER (hilary.towbin@gmail.com), MMSPIRE COMPLIANCE ANALYSTS (farazalikhan96@gmail.com, and brad_mcnew@sbcglobal.net), and MCNEW (lesmenew@gmail.com).