

MMSpire Fund Error Report

To: Compliance Analysts (Faraz Khan and Brad McNew), MMSpire Intern Manager (Ms. Hilary Towbin), Prof Leslie K. McNew, Coach Jonathon Markowitz

From: Dillon Robinson

Error Explanation: Failure to report erroneous trade for 20 minutes.

Operational Fines: Public Shaming Picture to be sent with this completed document.

Total Fine:

Error Summary:

Date	Description	Cost of Error (+/-)	Prevention Tactics	Deadline
7/5/2016	I failed to report this trading error for 20 minutes.	0	Will notify others of my error as soon as it happens	7/5/2016

Required Signatures:

(No electronic signatures)

Bradley A. McNew
Compliance Analyst Name

Bradley A. McNew
Compliance Analyst Signature

7-28-16
Date

Hilary Towbin
Manager Name

Hilary Towbin
Manager Signature

7-28-16
Date

McNew
Partner's Name

Leslie K. McNew
Partner's Signature

7/28/16
Date

The trader below has agreed to and taken the public shaming picture:

Dillon Robinson
Trader's Name

Dillon Robinson
Trader's Signature

7/28/16
Date

SNIP COPY OF PICTURE (S) BELOW. EMAIL TO MMSPIRE INTERNSHIP MANAGER (hilary.towbin@gmail.com), MMSPIRE COMPLIANCE ANALYSTS (farazalikhan96@gmail.com, and brad_mcnew@sbcglobal.net), and MCNEW (lesmcnew@gmail.com).